

PATENT

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Michael A. Jaskolski, Reg. No. 37,551

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carlos de la Huerga

Client Docket No. \_\_\_\_\_

Serial No.: 09/247,349

Filing Date: February 10, 1999

Title: Method and System for Automated Data Storage and Retrieval

Art Unit: 2771

Examiner:

Atty Docket: 250591.90112

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of all identified foreign patent documents and non-patent literature;
- ☐ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

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- ☐ 37 CFR 1.97(b) [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and

- ☐ The required certification made under the heading "Certification" below;
- or

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- ☒ The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

- ☒ 37 CFR §1.97(d) [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$\_\_\_\_\_ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated under the heading "Certification" below.

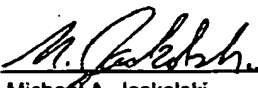
☒ Certification

- ☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055.

Respectfully submitted,  
CARLOS DE LA HUERGA

By:   
Michael A. Jaskolski  
Attorney for Applicant  
Reg No.: 37,551  
Quarles & Brady LLP  
411 East Wisconsin Avenue  
Milwaukee, WI 53202



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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete If Known

Application Number	09/247,349
Filing Date	February 10, 1999
First Named Inventor	Carlos de la Huerza
Art Unit	2771
Examiner Name	
Attorney Docket Number	250591.90112

Sheet 1 of 1

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 2002/0083183 A1	06-27-2002	Sanjay Pujare, et al	
	2	US- 5,895,496	04-20-1999	David V. James, et al	
	3	US- 5,790,856	08-04-1998	Alan W. Lillich	
	4	US- 4,332,009	05-25-1982	I. Steve Gerson	
	5	US- 5,832,258	11-03-1998	Atsushi Kiuchi et al	
	6	US- 2003/0067472	04-10-2003	William Radke et al	
	7	US- 6,230,283	05-08-2001	Mark R. Gambino	
	8	US- 2002/0159595 A1	10-31-2002	Yves Boudrequit et al	
	9	US- 6,208,998	03-27-2001	Kevin H. Marcus	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	EP 0 234 831 B1	11.11.92	Wilkinson, et al		
	2	EP 0 323 030 A2	05-07-89	Concha, et al		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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